

COUNTY OF KANE

OFFICE OF COMMUNITY REINVESTMENT

Paul Kuehnert, Executive Director



County Government Center
719 South Batavia Avenue
Geneva, IL 60134
Phone: (630) 232-3480
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www.countyofkane.org

DOCUMENT VET SHEET

for

Karen McConaughay
Chairman, Kane County Board



Name of Document: Applications for "Ike" Public Infrastructure Funds (2)

Submitted by: Scott Berger (208-5351)

Date Submitted: January ²⁵~~24~~, 2011

Examined by: KC Stepp
(Print name)

[Handwritten Signature]
(Signature)

1-25-2011
(Date)

Comments: Enclosed, please find two application packets for "Ike"

Public Infrastructure Funds for Chairman McConaughay's review and signature.

The projects include various stormwater and flood route improvements that – had they been in place in September 2008 – would have alleviated the flooding that resulted from hurricane Ike.

Thank you!
Scott

STATE OF ILLINOIS



CDBG DISASTER RECOVERY "IKE" PROGRAM

Special Allocation of the Community Development Block Grant (CDBG) Program

Public Infrastructure Program (PI)

APPLICATION GUIDEBOOK & FORMS

PI APPLICATIONS

IKE-PI APPLICATIONS CAN BE SUBMITTED BETWEEN
DECEMBER 1, 2010 - JANUARY 31, 2011

GUIDEBOOK UPDATED OCTOBER 14, 2010



Illinois Department of
Commerce and Economic Opportunity



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY
DCEO GRANT APPLICATION – PART I
 IKE-Public Infrastructure Program



DCEO Use Only: Application #:

SECTION 1: APPLICANT INFORMATION		
1.1	Legal Name of Applicant: <i>(Attach copy of W-9)</i>	Kane County Office of Community Reinvestment
1.2	Address of Applicant: <i>(Include your extended 9-digit zip code):</i>	719 South Batavia Avenue Geneva, Illinois 60134-3077
1.3	Chief Officer: <i>(If more than one, attach a list with all Officers)</i>	Name: Karen McConnaughy Title: County Board Chairman Address: 719 South Batavia Avenue; Geneva, Illinois 60134 Phone: (630) 232-5930 Fax: (630) 232-9188 E-Mail: kmcconnaughay@kanecoboard.org
1.4	Description of Applicant: <i>(200 Character maximum)</i>	Kane County is a local government entity incorporated as a public corporation under the laws of the State of Illinois.
1.5	NAICS Code:	925120 <i>(6-digit Industry Classification Code)</i>
1.6	Applicant Website:	http://www.countyofkane.org/
1.7	Applicant FEIN:	36-6006585
1.8	Applicant SSN:	Not Applicable
1.9	Applicant's DUNS Number:	010221786
1.10	Applicant Fiscal Year:	From: December 1 To: November 30

SECTION 2: APPLICANT HISTORY		
2.1	Have you received a grant from the State of Illinois within the last 3-years?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Provide total number of grants received from the State of Illinois within the last 3 years.	2
2.1	If yes, provide the following for each grant received in last 3 years:	Agency: Illinois Department of Health
		Grant #: 95380474 & 75380876
		Grant Amount: \$173,114 & \$92,215
		Grant Term: (10-01-08 thru 09-30-10) & (01-01-07 thru 04-30-08)
		General Description: The Office of Community Reinvestment oversaw a Lead based Paint Hazard Reduction Program
2.1	Issues: Not Applicable	
2.2	If applicable, list all Names and FEINs that are registered to your organization or have been registered during the past 3 years.	
	Name	FEIN



SECTION 2: APPLICANT HISTORY		
2.3	<p>In the past twelve months, have there been any changes in the following key staff? Check all that apply. Provide detail for any boxes checked including names of the person who left the position and the name of their replacement. Indicate the number of months the position has been vacant if the position is currently vacant.</p> <p> <input type="checkbox"/> CEO/Executive Director/Chief Elected Official <input type="checkbox"/> CFO/Controller <input type="checkbox"/> Grant Administrator <input checked="" type="checkbox"/> Grant Administrative Support Staff (<i>i.e. Reporting, correspondence, document control</i>) <input type="checkbox"/> Bookkeeper/Accountant for Grant <input type="checkbox"/> No Changes </p>	
	Provide detail for any checked boxes.	The Office of Community Reinvestment increased it staff from 3 members to 5 members.
2.4	<p>If your proposed budget includes any staff costs for this grant, please indicate the type of documentation that will be maintained and used to allocate staff costs to the DCEO grant.</p> <p> <input checked="" type="checkbox"/> Time sheets <input type="checkbox"/> Cost allocation plans <input type="checkbox"/> Certifications of time spent <input type="checkbox"/> Other, please describe: <input type="checkbox"/> None </p>	
2.5	<p>Has the applicant or any principal formed a business that existed for less than two years?</p> <p>If yes, provide name(s) of the business and reason(s) that it existed for less than two years.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
2.6	<p>Has the applicant or any principal experienced foreclosure, repossession, civil judgment or criminal penalty (or been a party to a consent decree) within the past seven years as a result of any violation of federal, state or local law applicable to its business?</p> <p>If yes, identify the nature (including case number and venue) of the action and the disposition. If the action/proceeding is still pending or unresolved, provide a status identifying the unresolved issues.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
2.7	<p>Is the applicant or any principal the subject of any proceedings that are pending, or to the best of applicant's knowledge, threatened against applicant and/or any principal that may result in any adverse change in applicant's financial condition or materially and adversely affect applicant's operations?</p> <p>If yes, provide requested information.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
2.8	<p>Does the applicant or any principal owe any debt to the State?</p> <p>If yes, list reason and amount:</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>



SECTION 3: PROPOSAL INFORMATION		
3.1	Submittal Date:	January 27, 2011
3.2	Project Title:	County Line Road/UP Culvert (Maple Park)
3.3	Brief Project Description: <i>(Complete attached Scope of Work) (550 Character maximum)</i>	The 5 block area of County Line Road & DeKalb Drive Village of Maple Park, Illinois is the area that was flooded in the 2008 rain event near the Union Ditch. The pavement of DeKalb Drive was flooded because its elevation was below the flood level of Union Ditch and the surrounding detention facilities. Construction required to reduce the flood water level of Union Ditch consists of a second, parallel culvert installed across the Union Pacific railroad tracks. An improved culvert crossing of the railroad would reduce water levels on the south side of the tracks by approximately 1 to 2 feet.
3.4	Project Location:	Street Address: County Line Road & DeKalb Drive West Side City: Maple Park County: Kane
3.5	Areas Served:	5 block area of County Line Road & DeKalb Drive Village of Maple Park, Illinois
3.6	Project Contact:	Name: Josh Beck Title: Program Manager Address: 719 South Batavia Avenue; Geneva, Illinois 60134 Phone: 630-444-2960 Fax: 630-232-3411 E-Mail: beckjosh@co.kane.il.us
3.7	Project Period:	Start Date: May 2011 End Date: November 2011
3.8	Project Costs: <i>(Complete attached Budget)</i>	Funding provided by the applicant: \$100,000.00 Secured funding from other sources: \$0.00 Funding requested from DCEO: \$511,762.00 Total Project Cost \$611,762.00

SECTION 4: SCOPE OF WORK

County Line Road/UP Culvert (Maple Park)

Description of project:

The 5 block area of County Line Road & DeKalb Drive Village of Maple Park, Illinois is the area that was flooded in the 2008 rain event near the Union Ditch. The pavement of DeKalb Drive was flooded because its elevation was below the flood level of Union Ditch and the surrounding detention facilities.



DCEO GRANT APPLICATION – PART I

IKE-Public Infrastructure Program



The installation of a second, parallel culvert crossing the Union Pacific railroad right-of-way would reduce floodwater elevations along Union Ditch. The culvert would consist of a steel casing pipe rammed into place under the railroad tracks, with a concrete pipe grouted into place inside the casing pipe. The construction would include riprap, end treatments for the two culverts and restoration.

Grantee will complete the following tasks:

DESCRIPTION OF TASKS	ESTIMATED COMPLETION DATE
Task 1. Final Engineering Plans	October 2011
Task 2. Solicitation for Bids	December 2011
Task 3. Award Contracts	January 2012
Task 4. Construction Begins	February 2012
Task 5. Substantial Completion	July 2012
Task 6. Project Completion	September 2012
Task 7. Request final payment from DCEO	October 2012
Task 8. Project Closeout	November 2012

SECTION 5: PERFORMANCE MEASURES

Performance Measure	Target
Not Applicable	

SECTION 6: PROJECTED EMPLOYMENT IMPACT

6.1.	Number of permanent full-time individuals currently employed by applicant:	5
6.2.	Number of permanent part-time individuals currently employed by applicant:	0
6.3.	Number of permanent full-time jobs that would be created by applicant as a direct result of receiving the grant award:	0
6.4.	Number of permanent part-time jobs that would be created by applicant as a direct result of receiving the grant award:	0
6.5.	Number of permanent full-time jobs that would be retained by applicant as a direct result of receiving the grant award:	5
6.6.	Number of permanent part-time jobs that would be retained by applicant as a direct result of receiving the grant award:	0
6.7.	Describe any other projected employment impact as a result of receiving the grant award: While Kane County doesn't anticipate creating any new positions in the oversight of this grant we do anticipate that this grant would create or retain jobs for for skilled contractors.	



DCEO GRANT APPLICATION – PART I

IKE-Public Infrastructure Program



SECTION 7: BUDGET		
Line Item or Cost Category Description	Requested Grant Budget Amount	Proposed Match Budget Amount
Culvert Improvements	\$370,000.00	\$100,000.00
Engineering, Surveying, & Permits	\$74,800.00	\$0.00
10% Project Contingency	\$54,480.00	\$0.00
Kane County Administration	\$12,482.00	\$0.00
Total Cost	\$511,762.00	\$100,000.00

SECTION 8: PROGRAM SPECIFIC INFORMATION

IKE-PROGRAM AND PROJECT SPECIFIC INFORMATION WILL BE PROVIDED IN THE CDBG-"IKE" SPECIFIC FORMS/ INSTRUCTIONS THAT ARE PART II OF THE CDBG-IKE APPLICATION.



DCEO GRANT APPLICATION – PART I

IKE-Public Infrastructure Program



SECTION 9: APPLICANT CERTIFICATION

Under penalty of perjury, I certify that I have examined this application and the document(s), schedule(s), and statement(s) submitted in conjunction herewith, and that, to the best of my information and belief, the information contained herein is true, correct, and complete. I represent that I am the person authorized to submit this application on behalf of the applicant, and that I am authorized to execute a legally binding grant agreement on behalf of the applicant if this application is approved for funding.

I hereby release to DCEO the rights and use of photographs and/or any written statements or information, regardless of format (whether they are direct quotes or paraphrased by DCEO), contained in or provided after grant application for the purpose of publication on DCEO's website. I hereby also release any and all claims against DCEO its officers, agents, employees and/or affiliates arising out of, or in connection with, the usage of photographs and/or written statements or information, regardless of format (whether they are direct quotes or paraphrased by DCEO), for the purpose of publication on DCEO's website.

**Karen McConnaughey,
Chairman**

Signature

Name & Title

Date





ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY
DCEO GRANT APPLICATION – PART I
IKE-Public Infrastructure Program



PART II-
IKE- SPECIFIC PROGRAM APPLICATION
BEGINS ON THE NEXT PAGE



PART II- SECTION 1: PROJECT DETAILS

Complete Application – Part II for each proposed project.

PROJECT NAME: County Line Road/UP Culvert	TOTAL PROJECT COST: \$611,762.00
	CDBG FUNDS REQUESTED: \$511,762.00

PROJECT DESCRIPTION

PROJECT DESCRIPTION. PROVIDE A CONCISE DESCRIPTION OF THE PROPOSED PROJECT, DESCRIBING THE ACTIVITIES THAT WILL BE UNDERTAKEN FOR WHICH IKE-PI FUNDS ARE BEING REQUESTED:

The five block area of County Line Road and DeKalb Drive was flooded as part of the high water level caused by the flooded Union Ditch in the 2008 rain event. The low areas on either side of DeKalb Drive are detention facilities constructed as part of the development to the west and would be anticipated to have been flooded. The pavement of DeKalb Drive was flooded simply because its elevation was below the flood level of Union Ditch and the surrounding detention facilities.

Construction required to reduce the flood water level of Union Ditch consists of a second, parallel culvert installed across the Union Pacific railroad tracks near the Village’s wastewater treatment plant. An improved culvert crossing of the railroad would reduce water levels on the south side of the tracks by approximately 1 to 2 feet.

The installation of a second, parallel culvert crossing the Union Pacific railroad right-of-way would reduce floodwater elevations along Union Ditch. The culvert would consist of a steel casing pipe rammed into place under the railroad tracks, with a concrete pipe grouted into

IKE-PI ELIGIBLE ACTIVITIES

Project activities funded under the IKE-PI program must be among the Eligible Activities defined in Section 105(a) of the Housing and Community Development Act of 1974 (HCDA). List each Eligible Activity associated with the project and IKE-PI funding request.

PROJECT ACTIVITY DESCRIPTION- LIST EACH PROJECT ACTIVITY: (ADD ADDITIONAL ROWS IF NEEDED):	TOTAL CDBG FUNDS REQUESTED FOR ACTIVITY	ELIGIBLE ACTIVITY CDBG REGULATORY CITATION (FOR DCEO INTERNAL USE)
Culvert Improvements	\$370,000.00	105(A)
Engineering, Surveying, & Permits	\$74,800.00	105(A)
10% Project Contingency	\$54,480.00	105(A)
Kane County Administration	\$12,482.00	105(A)
		105(A)
Total IKE-PI Funding Request	\$ 511,762.00	



DCEO GRANT APPLICATION – PART II

IKE-Public Infrastructure Program



NATIONAL OBJECTIVE(S)

Project activities funded under this program must also meet a CDBG National Objective (as defined in 24 CFR 570.483).

ALL PROJECTS FUNDED WITH CDBG-IKE PROGRAM FUNDS MUST MEET ONE OF THE THREE NATIONAL OBJECTIVES.

1. BENEFIT A MINIMUM OF **51% LOW-AND-MODERATE INCOME* INDIVIDUALS** (THROUGH AN AREA-BENEFIT, JOBS, HOUSING, OR "LIMITED CLIENTELE" PROJECT BENEFIT);
2. AID IN THE PREVENTION OR ELIMINATION OF **SLUMS AND BLIGHT** (EITHER AS AN AREA BENEFIT, OR "SPOT BASIS" BENEFIT); OR
3. ADDRESS AN **URGENT NEED** IN THE COMMUNITY, SUCH AS A PROJECT THAT CAN ADDRESS THREAT TO THE HEALTH SAFETY AND/ OR WELFARE OF THE COMMUNITY.

CHECK ONE OR MORE APPLICABLE CDBG NATIONAL OBJECTIVES ASSOCIATED WITH THE PROJECT (SEE ATTACHMENT B FOR INSTRUCTIONS ON DOCUMENTING AND MEETING A NATIONAL OBJECTIVE):

- LOW-MODERATE INCOME AREA BENEFIT** (ATTACHMENT B, SECTION I.B)
- LOW-MODERATE INCOME JOBS** (ATTACHMENT B, SECTION I.D)
- LOW-MODERATE INCOME HOUSING** (ATTACHMENT B, SECTION I.C)
- LOW-MODERATE INCOME LIMITED CLIENTELE** (ATTACHMENT B, SECTION I.D)
- URGENT NEED** (ATTACHMENT B, SECTION III)
- SLUM-BLIGHT AREA BENEFIT** (ATTACHMENT B, SECTION II.A)
- SLUM-BLIGHT SPOT BASIS** (ATTACHMENT B, SECTION II.B)

DESCRIBE HOW THE PROJECT ACTIVITIES MEET THE SELECTED NATIONAL OBJECTIVE(S). ATTACH ALL SUPPORTING DOCUMENTATION (SEE ATTACHMENT B FOR DOCUMENTATION REQUIREMENTS):

In the Village of Maple Park all areas south of the Union Pacific railroad tracks benefits from this project, these areas are covered by 3 block groups. These two block groups, as reported in the 2000 Census, have 43.4% low to moderate income rate.

CDBGUOGID	COUNTYNAME	COUSUB	TRACT	BLKGRP	LOWMOD	LOWMODUNIV	LOWMODPCT
179089	Kane County	78188	852403	1	0	0	0
179089	Kane County	78188	852403	2	297	651	45.6
179089	DeKalb County	16483	001600	1	35	114	30.7
Total					332	765	43.40%

With a 43.4% rate of low to moderate income persons this area qualifies for Ike funding under the Area Benefit National Objective under the Kane County Exemption rate which the Department of Housing & Urban Development has set at 41.9% for 2010.



IKE-PI PROJECT NEED

DISCUSS HOW THE DISASTERS OF 2008 CAUSE THE NEED FOR THIS PROJECT AND PROVIDE A BASIC DESCRIPTION OF THE PROBLEMS ADDRESSED BY THIS PROJECT. BE SURE TO CLEARLY EXPLAIN THE CAUSE OF THE DAMAGE TO THE PUBLIC INFRASTRUCTURE AND ANY OTHER COMMUNITY DAMAGE DUE TO THE FAILURE OF THAT INFRASTRUCTURE. EXPLAIN WHEN THE DAMAGE OCCURRED, THE CURRENT CONDITION OF THE INFRASTRUCTURE, AND HOW THE PROJECT RELATES TO DISASTER RECOVERY. WHERE POSSIBLE INCLUDE SUPPORTING DOCUMENTATION (I.E. WELL WATER TESTS, DAMAGE REPORTS, PHOTOGRAPHS, ENGINEER CERTIFICATIONS, ETC.).

In 2008, the Village of Maple Park experienced significant property damage due to flooding of residential, commercial, and storm water infrastructure as a result of unprecedented rainfall. The Village of Maple Park identified the flooding as a priority in 2008 and commissioned an engineering study to identify flood areas and recommendations for remediation. The Village’s engineering firm, Baxter and Woodman Consulting Engineers conducted a study and identified three projects and 13 areas affected by the flood.

Below is an excerpt (a full version is attached) of this report related to the County Line Road/UP Culvert project;

Area 4 – County Line Road and DeKalb Drive, West Side – This area was flooded as part of the level caused by the flooded Union Ditch. The low areas on either side of DeKalb drive are detention facilities constructed as part of the development to the west and would be anticipated to have flooded. The pavement of DeKalb Drive was flooded simply because its elevation was below the fill level of the Union Ditch and the surrounding facilities.

IKE-PI CRITICAL COMMUNITY INFRASTRUCTURE

DISCUSS HOW THIS PROJECT WILL HELP MEET THE HEALTH AND SAFETY NEEDS OF COMMUNITY RESIDENTS:

Expanding capacity of this part of the Village’s storm water system will meet the health and safety needs of the community by ensuring that storm water from heavy rain events will be conveyed downstream in a safe and effect manner. Additionally, this expansion will ensure that roads within the village are not covered by water thus allowing for the free movement of emergency response vehicles.

IKE-PI FINANCIAL NEED



DESCRIBE THE NEED FOR IKE PROGRAM FUNDS BY INCLUDING EVIDENCE THAT THE PROJECTS FINANCING CANNOT BE FULLY OBTAINED WITHOUT IKE PARTICIPATION. DISCUSS WHY OTHER FINANCING OPTIONS NOT FEASIBLE OR CANNOT BE OBTAINED IN THE TIME FRAME REQUIRED. DISCUSS THE AVAILABILITY OF ANY CAPITAL RESERVE FUNDS:

The Village of Maple Park has experienced significant financial constraints due to declining revenue, declining growth, and limited revenues. The Village is unable to address these storm water flooding projects without significant assistance. The Community Development Block Grant (CDBG) 1800 Disaster Recovery IKE funds will provide for the urgent need to remediate flooding in an area that has experienced significant property loss, and the funding will assist a disadvantaged community with an opportunity to address a substantial storm water management problem.

As shown in the census data, 43% of the Village of Maple Park residents are low to moderate income, any additional special assessments levied on the Village residents in this economy was deemed infeasible by the Village board as they fear that property owners who could not afford may be forced to sell property at a loss or may be forced into foreclosure.

The Village of Maple Park will not move forward with the project described in this proposal without IKE Public Infrastructure funds.

IKE-PI URGENCY OF NEED

DISCUSS THE URGENCY OF COMPLETING THIS PROJECT AND THE POTENTIAL OF ADVERSE IMPACTS IF NOT COMPLETED IN A TIMELY MANNER.

In the event that the storm water sewer system in Maple Park fails again due to another heavy rain event, residents of the Maple Park will again have to endure the high costs of protecting their homes from flooding thru the use of sump pumps and sandbags. Additionally, the longer standing water from inadequate storm water system stays in place, the greater chance that the water will jeopardize the public health, infrastructure, and property values of the residents of the Village of Maple Park.



IKE-PI PROJECT OPTIONS

DISCUSS THE OPTIONS AVAILABLE TO MEET THE NEEDS OF THE PROJECT. DISCUSS THE COSTS AND EFFECTIVENESS OF EACH OPTION. EXPLAIN WHY OPTION IN THIS APPLICATION WAS SELECTED:

The options or projects identified are recommended in order of significance based on cost, effectiveness of the plan, and potential for future flooding. The engineering study and recommendations have been further reviewed by the Village's current engineering firm, LinTech, and the projects were again recommended based on effectiveness and cost. After two engineering reviews and a significant investment in studies both engineering firms have recommended the options identified in the 2008 study and both firms have recommended the same prioritization. The alternative to these options has been to delay construction and seek alternative funding. The engineering firms have determined that the construction projects, as recommended, would be the best course of action.

IKE-PI PROJECT TIMELINE

DESCRIBE THE PROPOSED TIMEFRAME (INCLUDING ALL MAJOR MILESTONES) FOR PROJECT COMPLETION.

Final Engineering Plans	October 2011
Solicitation for Bids	December 2011
Award Contracts	January 2012
Construction Begins	February 2012
Substantial Completion	July 2012
Project Completion	September 2012
Request final payment from DCEO	October 2012
Project Closeout	November 2012

PROJECT READINESS

PROVIDE DETAILED INFORMATION ABOUT THE STATUS OF PROJECTS, INCLUDING:

- STATUS OF IEPA PERMIT(S), EASEMENTS, WATER/WASTEWATER TREATMENT AGREEMENT, OPTION TO PURCHASE LAND, AND LEVERAGE COMMITMENT(S); **The Village of Maple Park has secured all easements.**
- PRELIMINARY ENGINEERING REPORT (IF COMPLETED) DESCRIBING THE SCOPE OF THE PROBLEM, PROPOSED PROJECT PHASES OR COMPONENTS, AND ESTIMATED COSTS; **Preliminary engineering is complete.**
- STATUS OF WRITTEN PERMISSION FROM RAILROAD(S), COUNTY HIGHWAY COMMISSIONERS, IDOT, ETC. TO PROCEED WITH ANY RAILROAD AND/OR ROAD BORINGS THAT ARE PROPOSED; **Not applicable**



DCEO GRANT APPLICATION – PART II

IKE-Public Infrastructure Program



- FOR "PHASED PROJECTS", INDICATE THE STATUS OF ALL PERTINENT READINESS ISSUES. IN ADDITION, THE APPLICATION SHOULD INCLUDE SUPPORTING DOCUMENTATION, AS APPROPRIATE. **Not applicable**
- STATUS OF LEVERAGE COMMITMENT(S) IF APPLICABLE): **Not Applicable**

PROJECT BUDGET

List below the estimated costs associated with the project. Attach Engineers Estimate Worksheet provided in Section 3

ACTIVITY/BUDGET ITEM	COST
Culvert Improvements	\$470,000.00
Engineering, Surveying, & Permits	\$74,800.00
10% Project Contingency	\$54,480.00
Kane County Administration	\$12,482.00
TOTAL ESTIMATED PROJECT COST	\$611,762.00

PROJECT RESOURCES

List below the available or proposed resources that will be (or are proposed to be) utilized in the completion of the project.

TOTAL ESTIMATED PROJECT COST: \$500,523.28

FUNDING SOURCE	AMOUNT	STATUS (AVAILABLE, PARTIAL, IN PROGRESS, PROPOSED, ETC.)
CDBG-IKE	\$511,762.00	Proposed
LOCAL FUNDS	\$100,000.00	Pending
PRIVATE FUNDS	\$0.00	
OTHER FEDERAL FUNDS	\$0.00	
STATE FUNDS	\$0.00	
OTHER FUNDS:	\$0.00	
TOTAL	\$611,762.00	



PART II Section 2: Submission Checklist

All CDBG applications will be screened for completeness. Applicants must complete and submit this checklist with the application. All pages of the application must be sequentially numbered. Use the right-hand column, labeled "Page Number" to indicate the page for each item.

- _____ One Original and one copy of the application (indicate the "original" on the cover)
- _____ One Annual Audit of the Local Government (Under separate cover) OR
- _____ One Annual Audit of Water District or Company or Sanitary District, if applicable

Description	Complete
APPLICATION FORM COVER SHEET	<input type="checkbox"/>
COMPLETED SUBMISSION CHECKLIST	<input type="checkbox"/>
CDBG PUBLIC INFRASTRUCTURE APPLICATION	<input type="checkbox"/>
Documentation, Certifications, Resolutions	
APPLICANT CERTIFICATIONS	<input type="checkbox"/>
COUNCIL RESOLUTION OF SUPPORT	<input type="checkbox"/>
COPY OF PUBLIC NOTICE (WITH INITIAL DATE OF POSTING AND POSTING LOCATION (S)) NOTIFYING PUBLIC OF INTENT TO SUBMIT APPLICATION	<input type="checkbox"/>
RESOLUTION COMMITTING LOCAL FUNDS (IF APPLICABLE)	<input type="checkbox"/>
APPLICANT/GRANTEE/RECIPIENT DISCLOSURE CERTIFICATION	<input type="checkbox"/>
INTERGOVERNMENTAL COOPERATION AGREEMENT (IF APPLICABLE)	<input type="checkbox"/>
RESOLUTION MEETING URGENT NEED NATIONAL OBJECTIVE (IF APPLICABLE)	<input type="checkbox"/>
Attachments	
SUPPORTING DOCUMENTATION OF URGENT NEED/THREAT TO HEALTH AND SAFETY (IF APPLICABLE)	<input type="checkbox"/>
FIRM LETTERS OF COMMITMENT FROM OTHER LEVERAGING SOURCES (IF APPLICABLE)	<input type="checkbox"/>
COPY OF IEPA CONSTRUCTION PERMIT(S) (IF APPLICABLE)	<input type="checkbox"/>
COPY OF WATER PURCHASE OR WASTEWATER TREATMENT AGREEMENT (IF APPLICABLE)	<input type="checkbox"/>
COPY OF OPTION TO PURCHASE (IF APPLICABLE)	<input type="checkbox"/>
PROJECT LOCATION MAP	<input type="checkbox"/>
MOST RECENT FEMA FLOOD INSURANCE RATE MAP (FIRM)	<input type="checkbox"/>
INCOME SURVEY MAP (IF APPLICABLE)	<input type="checkbox"/>
US GEOLOGICAL SURVEY QUAD TOPOGRAPHIC MAP	<input type="checkbox"/>
NATIONAL OBJECTIVE SUPPORTING DOCUMENTATION	<input type="checkbox"/>



DCEO GRANT APPLICATION – PART II

IKE-Public Infrastructure Program



COPY OF WATER/ SEWER RATE SCHEDULES	<input type="checkbox"/>
ENGINEER'S COST ESTIMATES	<input type="checkbox"/>
ADMINISTRATIVE COSTS BUDGET (IF APPLICABLE)	<input type="checkbox"/>
ANALYSIS OF LOW-TO-MODERATE BENEFIT	<input type="checkbox"/>
LOW-TO-MODERATE INCOME SURVEY SUMMARY(IF APPLICABLE)	<input type="checkbox"/>
INCOME SURVEY WORKSHEETS (IF APPLICABLE)	<input type="checkbox"/>
INCOME SURVEY/INCOME SURVEY COVER SHEET (IF APPLICABLE)	<input type="checkbox"/>
COPY OF PUBLIC INFRASTRUCTURE INCOME SURVEY FORM (IF APPLICABLE)	<input type="checkbox"/>
MINORITY BENEFIT/AFFIRMATIVE HOUSING STATEMENT	<input type="checkbox"/>
CDBG PUBLIC INFRASTRUCTURE FINANCIAL INFORMATION	<input type="checkbox"/>



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY

DCEO GRANT APPLICATION – PART II

IKE-Public Infrastructure Program



PART II-
IKE APPLICATION *CONTINUED*
SECTION 3

**DOCUMENTATION, CERTIFICATIONS,
AND RESOLUTIONS**



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY
DCEO GRANT APPLICATION – PART II
IKE-Public Infrastructure Program



ILLINOIS DISASTER RECOVERY PROGRAM

ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY
 ILLINOIS DISASTER RECOVERY
 PUBLIC INFRASTRUCTURE PROGRAM
 APPLICATION FORM COVER SHEET

Note: Provide as a Separate Cover with the Application Form

Kane County Office of Community Reinvestment

Kane County

Applicant Name

County Location

Region:

(Select all that apply – see Attachment A)

- FEMA 1747 Impacted Region
- FEMA 1771 Impacted Region
- FEMA 1800 Impacted Region

Type of CDBG Requested:

- Entitlement
- Non-Entitlement

CDBG National Objective:

<input checked="" type="checkbox"/>	Principally benefit low- and moderate-income persons
<input type="checkbox"/>	Meet community development need having a particular urgency
<input type="checkbox"/>	Aid in the prevention or elimination of slums or blight

Grant Request Amount: \$611,762.00
 Local Match Amount \$0.00



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY

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Note: Forms generated by the applicant must contain all the information requested on the DCEO forms. Incomplete forms will not be accepted.



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY
DCEO GRANT APPLICATION – PART II
IKE-Public Infrastructure Program



APPLICANT CERTIFICATIONS

On this (date) of (month), (year), the (title and name of the Chief Elected Official or Authorized Individual for nonprofits) of (name of applicant) hereby certifies to the Department of Commerce and Economic Opportunity in regard to an application and award of funds through the CDBG "Ike" Disaster Recovery Program:

1. It possesses the legal authority to carry out the program for which it is seeking funding, in accordance with applicable HUD regulations, and no legal actions are underway or being contemplated that would significantly impact its capacity to effectively administer the program, and to fulfill the requirements of the CDBG "Ike" program.
2. It will comply with the National Environmental Policy Act (NEPA) with the submission of this application and it further certifies that no aspect of the project for assistance has or shall commence prior to the award of funds to the community and the receipt of an environmental clearance.
3. It will comply with the Interagency Wetland Policy Act of 1989 including the development of a plan to minimize adverse impacts on wetlands, or providing written evidence that the proposed project will not have an adverse impact on a wetland.
4. It will comply with the Illinois Endangered Species Protection Act and the Illinois Natural Area Preservation Act by completing the consultation process with the Endangered Species Consultation Program of the Illinois Department of Natural Resources, or providing written evidence that the proposed project is exempt.
5. It will identify and document all appropriate permits necessary to the proposed project, including, but not limited to: building, construction, zoning, subdivision, IEPA and IDOT.
6. It will coordinate with the County Soil and Water Conservation District regarding standards for surface and sub-surface (tile) drainage restoration and erosion control in the fulfillment of any project utilizing CDBG "Ike" funds and involving construction.
7. It is understood that the obligation of the State will cease immediately without penalty of further payment being required if in any fiscal year the Illinois General Assembly or federal funding source fails to appropriate or otherwise make available sufficient funds for this agreement.
8. It acknowledges the applicability of Davis-Bacon prevailing wage rate requirements to construction projects; a request for wage rate determination will be submitted prior to commencement of any construction or equipment installation; and, it shall discuss these requirements with the contractor.
9. It will comply with Section 3 of the Housing and Urban Development Act of 1968 to ensure that employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, and consistent with existing federal, state, and local laws and regulations, be directed to low and very low income persons and businesses.
10. No occupied or vacant-occupiable low-to-moderate income dwellings will be demolished or converted to a use other than low-to-moderate income housing as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended.
11. It will conduct a Section 504 self-evaluation of its policies and practices to determine whether its employment opportunities and services are accessible to persons with disabilities.



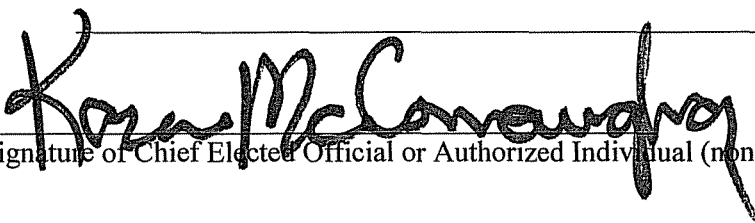
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12. The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.
13. The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.
14. It will minimize displacement of persons and provide for reasonable benefits to any person involuntarily and permanently displaced as a result of activities associated with program funds.
15. It will comply with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms, if required by that Part.
16. It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR Part 24, except where waivers or alternative requirements are provided for this grant.
17. Funds will be used solely for necessary expenses related to disaster relief, long term recovery, and restoration of infrastructure in areas covered by a declaration of major disaster under title IV of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) as a result of recent natural disasters.
18. It will not attempt to recover any capital costs of public improvements assisted with CDBG "Ike" disaster recovery grant funds, by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless (A) disaster recovery grant funds are used to pay the proportion of such fee or assessment that relates to the capital costs of such public improvements that are financed from revenue sources other than under this title; or (B) for purposes of assessing any amount against properties owned and occupied by persons of moderate income, the grantee certifies to the Secretary that it lacks sufficient CDBG funds (in any form) to comply with the requirements of clause (A).
19. It will prohibit the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
20. It will enforce applicable state and local laws against physically barring entrance to or exit from a facility or location that is the subject of such nonviolent civil rights demonstrations within its jurisdiction.
21. It will not use CDBG "Ike" disaster recovery funds for any activity in an area delineated as a special flood hazard area in FEMA's most current flood advisory maps unless it also ensures that the action is designed or modified to minimize harm to or within the floodplain in accordance with Executive Order 11988 and 24 CFR Part 55.
22. It will comply with applicable laws.
23. Specify whether any of the project activities/area is in a flood plain. Yes ___ No XX

If yes, does it participate in the National Flood Insurance Program? Yes ___ No ___

If no, provide an explanation as to why it does not participate: _____



Signature of Chief Elected Official or Authorized Individual (non-profits only)

Date





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PUBLIC NOTICE

ANNOUNCING THE INTENT TO SEEK FUNDING SUPPORT FOR THE PROJECT
DESCRIBED BY THIS NOTICE

And

PUBLIC COMMENT PERIOD

DESCRIBING THE METHODS AND TIMEFRAME FOR SUBMITTING COMMENTS CONCERNING THE
PROJECT DESCRIBED BY THIS NOTICE

Kane County will apply for funding under Illinois' Community Development Block Grant (CDBG) IKE-Disaster Recovery Program to support the following 16 projects:

- Algonquin Shores Stormwater Detention and Outfall (Dundee Township)
- Combined Sewer Separation Project (Big Rock)
- County Line Road/UP Culvert (Maple Park)
- Crescent Drive Ditch and Flood Route Repair (Dundee Township)
- Exposition View Stormwater and Flood Route Improvements (Aurora Township)
- Golfview Flood Conveyance Project (Aurora Township)
- John Street/Raymond Road Drainage Improvements (Big Rock)
- Johnston-Lindenwood Subdivision Storm Sewer (Aurora Township)
- Kelly Road Flood Route Construction (Hampshire Township)
- North Side Storm Sewer (Maple Park)
- Ogden Gardens Subdivision Storm Sewer Outlet Construction (Aurora Township)
- Pasadena Drive Flood Routing Storm Sewer Construction (Aurora Township)
- Shady Court Stormwater Improvements (Campton Township)
- South Side Storm Sewer (Maple Park)
- Tenerelli Flood Route Improvements (Big Rock)
- Williams Ave./Edwards Ave. Stormwater Improvements (Dundee Township)

The project will **improve the Health and Safety of Kane County residents by improving the management of storm water.**

The proposed project cost is estimated to total **\$7,812,909**. The CDBG-IKE grant award sought on behalf of the project, if approved, would total **\$6,651,892** towards the funding needed for this project.

Kane County invites public comments concerning the above-described project, and will accept comments through January 19, 2011 to January 27, 2011.

Comments may be submitted by email to Josh Beck, Program Manager, Office of Community Reinvestment at beckjosh@co.kane.il.us Comments may be submitted by mail, or in person to Office of Community Reinvestment, 719 South Batavia Avenue, Fourth Floor, Geneva, Illinois 60134 at the ATTENTION OF: Josh Beck, Program Manager.



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APPLICANT/GRANTEE/RECIPIENT DISCLOSURE CERTIFICATION

PART I. APPLICANT/GRANTEE/RECIPIENT INFORMATION	
Indicate whether this is an Initial Report _____ or an Update Report _____	
A. Applicant/Grantee Name, Address and Telephone (include area code)	
Kane County Office of Community Reinvestment 719 South Batavia Avenue, Geneva, Illinois 60134 (630) 444-2960	
B. Recipient Name, Address and Telephone (include area code) (for economic development component only)	
Not Applicable	
C. Project Location Address	
County Line Road & DeKalb Drive West Side Maple Park, Illinois (see attached map)	
Maple Park	
D. Type of Assistance Requested/Provided	Amount of Assistance Requested/Provided
Grant	\$611,762.00
PART II. THRESHOLD DETERMINATION (Applicant/Grantee only)	
Have you received, or can you reasonably expect to receive (including this grant application), an aggregate amount of IKE-PI/CDBG funds in excess of \$200,000 for the time period January 1, 2010, to December 31, 2010. Yes _____ No _____	
If "yes," Parts III through V must also be completed.	
All applicants must certify that the information provided is true by signing below.	
Printed Name of Applicant/Grantee/Recipient	Signature of Authorized Official
Date	Printed Name and Title of Authorized Official



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Applicant/Grantee/Recipient Disclosure Certification (continued)

PART III. OTHER GOVERNMENT ASSISTANCE			
Federal Department/State/Local			Amount \$100,000.00
Agency Name & Address	Program	Type	Requested/Provided
Kane County Office of Community Reinvestment 719 South Batavia Avenue So. Geneva, IL 60134	Community Development Fund	Local	\$100,000.00
PART IV. INTERESTED PARTIES			
List of all persons with a Reportable Financial Interest	Type of Participation	Financial Interest in Project (\$ and %)	
Not Applicable	Not Applicable	Not Applicable	
PART V. SOURCES AND USES OF FUNDS			
Source	Use		
Total funds available \$611,762.00	Construction of storm water sewer system in the Village of Maple Park.		



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ANALYSIS OF BENEFIT TO LOW-TO-MODERATE INCOME PERSONS

Activity*	Total # of Persons Activity Will Serve	# of Low - Moderate Income Persons Activity Will Serve	% of Persons Served who have Low - Moderate Income	Amount of CDBG Funds Requested for the Activity	Amount of CDBG Funds to Benefit Low - Moderate Income Persons
	818	359	43.9		

Methodology

Please check the appropriate box below.

1. [] 2000 U. S. Census Data: Applicants must request this information from DCEO prior to application preparation.
2. [x] All (100%) of Community/Target Area Survey: The entire (100%) population of the community or target area must be surveyed with a minimum 75% usable survey response rate.
3. [] Random Sample Survey:

*Each major activity should be detailed separately when the number of persons benefiting varies from activity to activity. For example, water tower construction and water main replacement in a targeted area should be detailed as two separate activities, because they benefit differing numbers of persons. Low-to-moderate income hook-ups would be another example of an activity that should be detailed separately.

If conducting an income survey see Attachment B-2.



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MINORITY BENEFIT/AFFIRMATIVE HOUSING STATEMENT
 (Required)

a. What is the percentage of the minority group(s) population residing in the community?	%
What is the percentage of the minority group(s) population residing in the proposed project (" targeted ") area?	%

Identify the characteristics of the population of the project (targeted) area by specific ethnic group. This information may be obtained from the most recent Census Data ("Summary of Population and Housing Characteristics CPH 1-15 Table 3") or from the income survey if a survey was conducted. (If survey data is being used, and less than a 100% response rate was received, extrapolated data should be used, rounding fractions to whole numbers)

Ethnic Category	Total Persons	# Also Hispanic
White		
Black/African American		
Asian		
American Indian/Alaskan Native		
Native Hawaiian/Other Pacific Islander		
American Indian/Alaskan Native and White		
Asian and White		
Black/African American and White		
American Indian/Alaskan Native and Black/African American		
Other Individuals Reporting more than One Race		
# of Female Headed Households		

With the exception of "Female Heads of Households", the above numbers should equal the total number of persons to benefit from the project ("targeted" area).

b. What is the goal for the percentage of IKE-PI funded contracts to be awarded to minority contractors?

8%

c. If the percentage goal in *b* is substantially less than the percentage of minorities residing in the community, please explain.

d. If funded, the applicant agrees to affirmatively further fair housing by posting Fair Housing Posters and by making HUD Fair Housing Complaint Forms available to the public. In addition, the Department recommends that the unit of local government pass a fair housing ordinance. Please check one below:

- We already have a Fair Housing Ordinance on file. (Indicate Number and Date Passed _____)
- If funded, we will pass a Fair Housing Ordinance
- We do not plan to pass a Fair Housing Ordinance at this time.

Signature of Chief Elected Official:

Karen McComanglen





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APPLICANT FINANCIAL INFORMATION

Please provide the following information relative to the project for which CDBG DR funds are being requested (please provide information for all public infrastructure projects)	
Total Project Cost	\$611,762.00
CDBG PI Grant Requested	\$611,762.00
Total # of Persons Served	765

Current Average Monthly Residential Water and Sewer Bills (Attach copies of current water & wastewater rate schedules)	
Water Charge	\$N.A. /5,000 gallons
Sewer Charge	\$N.A. /5,000 gallons

(If water/sewer billed in cubic feet, provide the cost based upon 669 cubic feet.)

Provide dates of most recent rate changes
Most recent water rate change: __/__/____ Most recent sewer rate change: __/__/____

Projected monthly residential charge for 5,000 gallons of water and 5,000 gallons of sewage treatment if community proceeds with project without grant assistance	
Water Charge	\$N.A. /5,000 gallons
Sewer Charge	\$N.A. /5,000 gallons

Other Financial Information	
Equalized assessed valuation for 2009	\$15,464,758,039.00
Municipal government tax rate in 2009: (collectible in 2010)	.339794
Aggregate tax rate for all local taxing bodies (e.g., county, township, school district) in 2009: [Add total tax rate of each unit of local government levying property taxes within the project area (county, township, etc.)] (collectible in 2010)	7.786370
Population according to 2000 Census Population	N.A.
Current number of Water and Sewer Accounts	N.A.
Total Water Accounts:	N.A.
Total Sewer Accounts:	N.A.
Residential Water Accounts:	N.A.
Residential Sewer Accounts:	N.A.
Total Amount of Cash Reserves	N.A. N.A.
Cash reserves above designated for specific purposes:	N.A. N.A.
	N.A. N.A.
	N.A. N.A.



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Engineer's Cost Estimate

All proposed project activities shall be accompanied by a detailed cost estimate prepared and signed by a Professional Engineer licensed in the State of Illinois. The cost estimate shall contain the cost of construction, engineering fees, and related costs. The construction estimate shall be a listing of construction items (as a bid proposal), estimated quantity, unit of measure, unit price, and amount.

Engineering fees shall be identified by type in a line item format. Typical items include basic services, resident project representative, topographic surveying, property surveying, geotechnical investigation, and testing.

1. Construction Cost Details

Item Description	Estimate Quantity	Estimated Cost
Mobilization	1	\$75,000.00
Steel Casing	1	\$50,000.00
Concrete Pipe	1	\$50,000.00
Concrete Pipe	1	\$25,000.00
Ramming & Construction	1	\$270,000.00
Subtotal A		\$470,000.00

2. Professional Fees and Permits

	Estimated Cost	
Final Design	\$37,600.00	
Construction Administration	\$28,200.00	
Permits/ Archeological Survey	\$6,000.00	
Legal and Bonding Fees	\$3,000.00	
Other (specify) P	\$0.00	
Subtotal B		\$74,800.00

3. Related Construction Costs

	Estimated Cost
Real Property/ Easements/ Acquisition	\$0.00
Contingencies	\$54,480.00



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Other (specify)	\$0.00
Subtotal C	\$54,480.00

4. Total Project Costs

Subtotal A + Subtotal B + Subtotal C = **\$599,280.00**

Estimates prepared / / by _____

Address/ Telephone: _____

Signature/ Date: _____



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ADMINISTRATIVE COSTS BUDGET

Complete This Section If CDBG Funds Are Requested For Grant Administrative Activities.

PERSONNEL COSTS				
Position	Compensation	% of Time to CSP	Number of Months	CSP Total Amount
Program Manager	\$43.94 per hour	5-15%	7	\$1,643.71
Project Manager	\$38.01 per hour	5-20%	7	\$4,931.14
GENERAL MANAGEMENT COSTS				
Item	Amount			
Phone	\$0.00			
Postage	\$0.00			
Supplies/Materials	\$0.00			
Copying/Printing	\$0.00			
Equipment (List)	\$0.00			
Travel	\$0.00			
	\$0.00			
	\$0.00			
	\$0.00			
	\$0.00			
CONTRACTUAL COSTS (IDENTIFY)				
	\$0.00			
	\$0.00			
	\$0.00			
OTHER (IDENTIFY IN DETAIL)				
	\$0.00			
	\$0.00			
	\$0.00			
TOTAL				
Total Amount of Funds Requested For Administration				\$6,574.85